

# Logical Inference

## Chapter 9

Some material adopted from notes by Andreas Geyer-Schulz, Chuck Dyer, and Mary Getoor

## Overview

- Model checking for PL
- Inference in first-order logic
  - Inference rules and generalized modes ponens
  - Forward chaining
  - Backward chaining
  - Resolution
    - Clausal form
    - Unification
    - Resolution as search

## PL Model checking

- Given KB, does sentence S hold?
- Basically generate and test:
  - Generate all the possible models
  - Consider the models M in which KB is TRUE
  - If  $\forall M S$ , then S is **provably true**
  - If  $\forall M \neg S$ , then S is **provably false**
  - Otherwise ( $\exists M1 S \wedge \exists M2 \neg S$ ): S is **satisfiable** but neither provably true or provably false

## Efficient PL model checking

- Davis-Putnam algorithm (DPLL) is a generate-and-test model checking with:
  - *Early termination*: short-circuiting of disjunction and conjunction
  - *Pure symbol heuristic*: Any symbol that only appears negated or unnegated must be FALSE/TRUE respectively
    - e.g. in  $[(A \vee \neg B), (\neg B \vee \neg C), (C \vee A)]$  A & B are pure, C is impure. Make pure symbol literal true: if there's a model for S, then making a pure symbol true is also a model
  - *Unit clause heuristic*: Any symbol that appears in a clause by itself can immediately be set to TRUE or FALSE
- WALKSAT: Local search for satisfiability: Pick a symbol to flip (toggle TRUE/FALSE), either using min-conflicts or choosing randomly
- ...or you can use *any* local or global search algorithm!

### Reminder: Inference rules for FOL

- Inference rules for propositional logic apply to FOL as well
  - Modus Ponens, And-Introduction, And-Elimination, ...
- New (sound) inference rules for use with quantifiers:
  - Universal elimination
  - Existential introduction
  - Existential elimination
  - Generalized Modus Ponens (GMP)

# Automating FOL inference with Generalized Modus Ponens

### Automated inference for FOL

- Automated inference using FOL is harder than PL
  - Variables can potentially take on an *infinite* number of possible values from their domains
  - Hence there are potentially an *infinite* number of ways to apply the Universal Elimination rule of inference
- *Godel's Completeness Theorem* says that FOL entailment is only *semidecidable*
  - If a sentence is **true** given a set of axioms, there is a procedure that will determine this
  - If the sentence is **false**, then there is no guarantee that a procedure will ever determine this — i.e., it **may never halt**

### Generalized Modus Ponens

- Modus Ponens
  - $P, P \Rightarrow Q \models Q$
- Generalized Modus Ponens (GMP) extends this to rules in FOL
- Combines And-Introduction, Universal-Elimination, and Modus Ponens, e.g.
  - from  $P(c)$  and  $Q(c)$  and  $\forall x P(x) \wedge Q(x) \rightarrow R(x)$  derive  $R(c)$
- Need to deal with
  - more than one condition on left side of rule
  - variables

## Generalized Modus Ponens

- General case: **Given**
  - **atomic sentences**  $P_1, P_2, \dots, P_N$
  - **implication sentence**  $(Q_1 \wedge Q_2 \wedge \dots \wedge Q_N) \rightarrow R$ 
    - $Q_1, \dots, Q_N$  and  $R$  are atomic sentences
  - **substitution**  $\text{subst}(\theta, P_i) = \text{subst}(\theta, Q_i)$  for  $i=1, \dots, N$
  - **Derive new sentence: subst( $\theta$ , R)**
- Substitutions
  - $\text{subst}(\theta, \alpha)$  denotes the result of applying a set of substitutions defined by  $\theta$  to the sentence  $\alpha$
  - A substitution list  $\theta = \{v_1/t_1, v_2/t_2, \dots, v_n/t_n\}$  means to replace all occurrences of variable symbol  $v_i$  by term  $t_i$
  - Substitutions made in left-to-right order in the list
  - $\text{subst}(\{x/\text{Cheese}, y/\text{Mickey}\}, \text{eats}(y,x)) = \text{eats}(\text{Mickey}, \text{Cheese})$

## Our rules are Horn clauses

- A Horn clause is a sentence of the form:  
 $P_1(x) \wedge P_2(x) \wedge \dots \wedge P_n(x) \rightarrow Q(x)$   
where
  - $\geq 0$   $P_i$ s and 0 or 1  $Q$
  - the  $P_i$ s and  $Q$  are positive (i.e., non-negated) literals
- Equivalently:  $P_1(x) \vee P_2(x) \dots \vee P_n(x)$  where the  $P_i$  are all atomic and *at most one* is positive
- Prolog is based on Horn clauses
- Horn clauses represent a *subset* of the set of sentences representable in FOL

## Horn clauses II

- Special cases
  - *Typical rule*:  $P_1 \wedge P_2 \wedge \dots \wedge P_n \rightarrow Q$
  - *Constraint*:  $P_1 \wedge P_2 \wedge \dots \wedge P_n \rightarrow \text{false}$
  - *A fact*:  $\text{true} \rightarrow Q$
- These are not Horn clauses:
  - $p(a) \vee q(a)$
  - $(P \wedge Q) \rightarrow (R \vee S)$
- Note: can't assert or conclude disjunctions, no negation
- No wonder reasoning over Horn clauses is easier

## Horn clauses III

- Where are the quantifiers?
  - Variables appearing in conclusion are universally quantified
  - Variables appearing only in premises are existentially quantified
- Example: grandparent relation
  - $\text{parent}(P1, X) \wedge \text{parent}(X, P2) \rightarrow \text{grandParent}(P1, P2)$
  - $\forall P1, P2 \exists PX \text{parent}(P1, X) \wedge \text{parent}(X, P2) \rightarrow \text{grandParent}(P1, P2)$
  - Prolog:  $\text{grandParent}(P1, P2) :- \text{parent}(P1, X), \text{parent}(X, P2)$

## Forward & Backward Reasoning

- We usually talk about two reasoning strategies:  
Forward and backward ‘chaining’
- Both are equally powerful
- You can also have a mixed strategy

## Forward chaining

- Proofs start with the given axioms/premises in KB, deriving new sentences using GMP until the goal/query sentence is derived
- This defines a **forward-chaining** inference procedure because it moves “forward” from the KB to the goal [eventually]
- Inference using GMP is **sound** and **complete** for KBs containing **only Horn clauses**

## Forward chaining algorithm

**procedure** FORWARD-CHAIN(*KB, p*)

**if** there is a sentence in *KB* that is a renaming of *p* **then return**

  Add *p* to *KB*

**for each** ( $p_1 \wedge \dots \wedge p_n \Rightarrow q$ ) **in** *KB* such that for some *i*, UNIFY( $p_i, p$ ) =  $\theta$  **succeeds do**

    FIND-AND-INFER(*KB*, [ $p_1, \dots, p_{i-1}, p_{i+1}, \dots, p_n$ ], *q*,  $\theta$ )

**end**

**procedure** FIND-AND-INFER(*KB, premises, conclusion,  $\theta$* )

**if** *premises* = [] **then**

    FORWARD-CHAIN(*KB*, SUBST( $\theta$ , *conclusion*))

**else for each** *p'* **in** *KB* such that UNIFY( $p', \text{FIRST}(\text{premises})$ ) =  $\theta_2$  **do**

    FIND-AND-INFER(*KB*, REST(*premises*), *conclusion*, COMPOSE( $\theta, \theta_2$ ))

**end**

## Forward chaining example

- KB:
  - allergies(X)  $\rightarrow$  sneeze(X)
  - cat(Y)  $\wedge$  allergicToCats(X)  $\rightarrow$  allergies(X)
  - cat(felix)
  - allergicToCats(mary)
- Goal:
  - sneeze(mary)

## Backward chaining

- **Backward-chaining** deduction using GMP is also **complete** for KBs containing **only Horn clauses**
- Proofs start with the goal query, find rules with that conclusion, and then prove each of the antecedents in the implication
- Keep going until you reach premises
- Avoid loops: check if new subgoal is already on the goal stack
- Avoid repeated work: check if new subgoal
  - Has already been proved true
  - Has already failed

## Backward chaining algorithm

**function** BACK-CHAIN( $KB, q$ ) **returns** a set of substitutions

BACK-CHAIN-LIST( $KB, [q], \{\}$ )

**function** BACK-CHAIN-LIST( $KB, qlist, \theta$ ) **returns** a set of substitutions

**inputs:**  $KB$ , a knowledge base

$qlist$ , a list of conjuncts forming a query ( $\theta$  already applied)

$\theta$ , the current substitution

**static:**  $answers$ , a set of substitutions, initially empty

**if**  $qlist$  is empty **then return**  $\{\theta\}$

$q \leftarrow \text{FIRST}(qlist)$

**for each**  $q'_i$  **in**  $KB$  such that  $\theta_i \leftarrow \text{UNIFY}(q, q'_i)$  succeeds **do**

    Add  $\text{COMPOSE}(\theta, \theta_i)$  to  $answers$

**end**

**for each** sentence  $(p_1 \wedge \dots \wedge p_n \Rightarrow q'_i)$  **in**  $KB$  such that  $\theta_i \leftarrow \text{UNIFY}(q, q'_i)$  succeeds **do**

$answers \leftarrow \text{BACK-CHAIN-LIST}(KB, \text{SUBST}(\theta_i, [p_1 \dots p_n]), \text{COMPOSE}(\theta, \theta_i)) \cup answers$

**end**

**return** the union of  $\text{BACK-CHAIN-LIST}(KB, \text{REST}(qlist), \theta)$  for each  $\theta \in answers$

## Backward chaining example

- KB:
  - $\text{allergies}(X) \rightarrow \text{sneeze}(X)$
  - $\text{cat}(Y) \wedge \text{allergicToCats}(X) \rightarrow \text{allergies}(X)$
  - $\text{cat}(\text{felix})$
  - $\text{allergicToCats}(\text{mary})$
- Goal:
  - $\text{sneeze}(\text{mary})$

## Forward vs. backward chaining

- FC is *data-driven*
  - Automatic, unconscious processing
  - E.g., object recognition, routine decisions
  - May do lots of work that is irrelevant to the goal
  - Efficient when you want to compute all conclusions
- BC is goal-driven, better for problem-solving
  - Where are my keys? How do I get to my next class?
  - Complexity of BC can be much less than linear in the size of the KB
  - Efficient when you want one or a few decisions

## Mixed strategy

- Many practical reasoning systems do both forward and backward chaining
- The way you encode a rule determines how it is used, as in

```
% this is a forward chaining rule
spouse(X,Y) => spouse(Y,X).
% this is a backward chaining rule
wife(X,Y) <= spouse(X,Y), female(X).
```
- Given a model of the rules you have and the kind of reason you need to do, it's possible to decide which to encode as FC and which as BC rules.

## Completeness of GMP

- GMP (using forward or backward chaining) is complete for KBs that contain only Horn clauses
- **not complete** for simple KBs with **non-Horn clauses**
- The following entail that S(A) is true:
  1.  $(\forall x) P(x) \rightarrow Q(x)$
  2.  $(\forall x) \neg P(x) \rightarrow R(x)$
  3.  $(\forall x) Q(x) \rightarrow S(x)$
  4.  $(\forall x) R(x) \rightarrow S(x)$
- If we want to conclude S(A), with GMP we cannot, since the second one is not a Horn clause
- It is equivalent to  $P(x) \vee R(x)$

## How about in Prolog?

- Let's try encoding this in Prolog
  1.  $q(X) :- p(X).$
  2.  $r(X) :- \text{neg}(p(X)).$
  3.  $s(X) :- q(X).$
  4.  $s(X) :- r(X).$

1. $(\forall x) P(x) \rightarrow Q(x)$
2. $(\forall x) \neg P(x) \rightarrow R(x)$
3. $(\forall x) Q(x) \rightarrow S(x)$
4. $(\forall x) R(x) \rightarrow S(x)$
- We should not use \+ or not (in SWI) for negation since it means “negation as failure”
- Prolog explores possible proofs independently
- It can't take a larger view and realize that one branch must be true since  $p(x) \vee \neg p(x)$  is always true

# Automating FOL Inference with Resolution

## Resolution

- Resolution is a **sound** and **complete** inference procedure for unrestricted FOL
- Reminder: Resolution rule for propositional logic:
  - $P_1 \vee P_2 \vee \dots \vee P_n$
  - $\neg P_1 \vee Q_2 \vee \dots \vee Q_m$
  - Resolvent:  $P_2 \vee \dots \vee P_n \vee Q_2 \vee \dots \vee Q_m$
- We'll need to extend this to handle quantifiers and variables

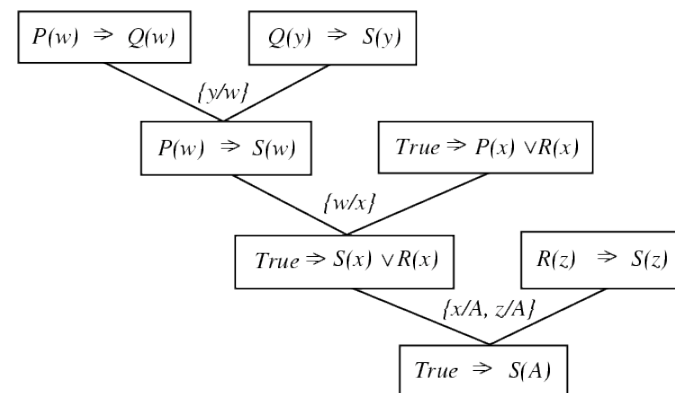
## Resolution covers many cases

- Modes Ponens
  - from  $P$  and  $P \rightarrow Q$  derive  $Q$
  - from  $P$  and  $\neg P \vee Q$  derive  $Q$
- Chaining
  - from  $P \rightarrow Q$  and  $Q \rightarrow R$  derive  $P \rightarrow R$
  - from  $(\neg P \vee Q)$  and  $(\neg Q \vee R)$  derive  $\neg P \vee R$
- Contradiction detection
  - from  $P$  and  $\neg P$  derive false
  - from  $P$  and  $\neg P$  derive the empty clause (=false)

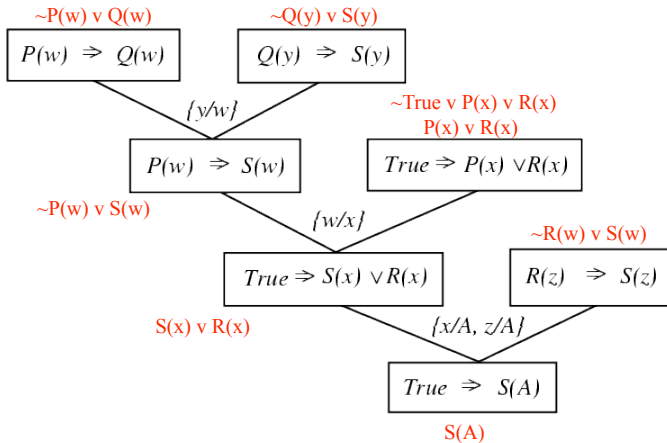
## Resolution in first-order logic

- Given sentences in *conjunctive normal form*:
  - $P_1 \vee \dots \vee P_n$  and  $Q_1 \vee \dots \vee Q_m$
  - $P_i$  and  $Q_j$  are literals, i.e., positive or negated predicate symbol with its terms
- if  $P_j$  and  $\neg Q_k$  **unify** with substitution list  $\theta$ , then derive the resolvent sentence:
 
$$\text{subst}(\theta, P_1 \vee \dots \vee P_{j-1} \vee P_{j+1} \vee \dots \vee P_n \vee Q_1 \vee \dots \vee Q_{k-1} \vee Q_{k+1} \vee \dots \vee Q_m)$$
- Example
  - from clause  $P(x, f(a)) \vee P(x, f(y)) \vee Q(y)$
  - and clause  $\neg P(z, f(a)) \vee \neg Q(z)$
  - derive resolvent  $P(z, f(y)) \vee Q(y) \vee \neg Q(z)$
  - Using  $\theta = \{x/z\}$

## A resolution proof tree



## A resolution proof tree



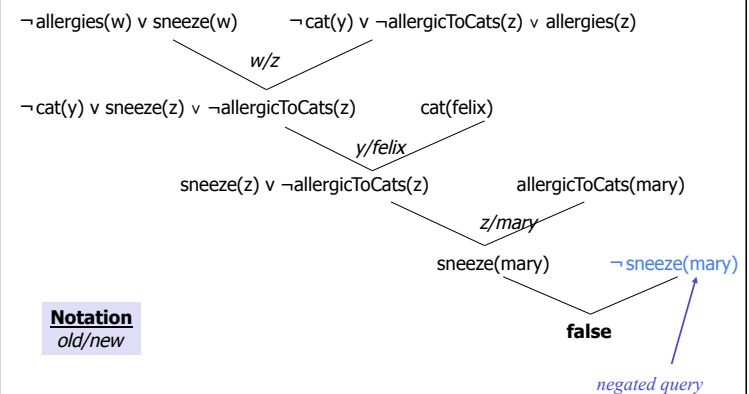
## Resolution refutation

- Given a consistent set of axioms KB and goal sentence Q, show that  $KB \models Q$
- Proof by contradiction:** Add  $\neg Q$  to KB and try to prove false, i.e.:
  - $(KB \vdash Q) \leftrightarrow (KB \wedge \neg Q \vdash \text{False})$
- Resolution is **refutation complete**: it can establish that a given sentence Q is entailed by KB, but can't (in general) generate all logical consequences of a set of sentences
- Also, it cannot be used to prove that Q is **not entailed** by KB
- Resolution **won't always give an answer** since entailment is only semi-decidable
  - And you can't just run two proofs in parallel, one trying to prove Q and the other trying to prove  $\neg Q$ , since KB might not entail either one

## Resolution example

- KB:
  - $\text{allergies}(X) \rightarrow \text{sneeze}(X)$
  - $\text{cat}(Y) \wedge \text{allergicToCats}(X) \rightarrow \text{allergies}(X)$
  - $\text{cat}(\text{felix})$
  - $\text{allergicToCats}(\text{mary})$
- Goal:
  - $\text{sneeze}(\text{mary})$

## Refutation resolution proof tree



**Notation**  
old/new



## questions to be answered

- How to convert FOL sentences to conjunctive normal form (a.k.a. CNF, clause form): **normalization and skolemization**
- How to unify two argument lists, i.e., how to find their most general unifier (**mgu**)  $q$ : **unification**
- How to determine which two clauses in KB should be resolved next (among all resolvable pairs of clauses) : **resolution (search) strategy**

# Converting to CNF

## Converting sentences to CNF

1. Eliminate all  $\leftrightarrow$  connectives  
 $(P \leftrightarrow Q) \Rightarrow ((P \rightarrow Q) \wedge (Q \rightarrow P))$
2. Eliminate all  $\rightarrow$  connectives  
 $(P \rightarrow Q) \Rightarrow (\neg P \vee Q)$
3. Reduce the scope of each negation symbol to a single predicate  
 $\neg \neg P \Rightarrow P$   
 $\neg(P \vee Q) \Rightarrow \neg P \wedge \neg Q$   
 $\neg(P \wedge Q) \Rightarrow \neg P \vee \neg Q$   
 $\neg(\forall x)P \Rightarrow (\exists x)\neg P$   
 $\neg(\exists x)P \Rightarrow (\forall x)\neg P$
4. Standardize variables: rename all variables so that each quantifier has its own unique variable name

## Converting sentences to clausal form Skolem constants and functions

5. Eliminate existential quantification by introducing Skolem constants/functions  
 $(\exists x)P(x) \Rightarrow P(C)$   
**C is a Skolem constant** (a brand-new constant symbol that is not used in any other sentence)  
 $(\forall x)(\exists y)P(x,y) \Rightarrow (\forall x)P(x, f(x))$   
since  $\exists$  is within scope of a universally quantified variable, use a **Skolem function f** to construct a new value that **depends on** the universally quantified variable  
f must be a brand-new function name not occurring in any other sentence in the KB  
E.g.,  $(\forall x)(\exists y)\text{loves}(x,y) \Rightarrow (\forall x)\text{loves}(x,f(x))$   
In this case,  $f(x)$  specifies the person that x loves  
a better name might be **oneWhoIsLovedBy(x)**

## Converting sentences to clausal form

- Remove universal quantifiers by (1) moving them all to the left end; (2) making the scope of each the entire sentence; and (3) dropping the “prefix” part  
Ex:  $(\forall x)P(x) \Rightarrow P(x)$
- Put into conjunctive normal form (conjunction of disjunctions) using distributive and associative laws  
 $(P \wedge Q) \vee R \Rightarrow (P \vee R) \wedge (Q \vee R)$   
 $(P \vee Q) \vee R \Rightarrow (P \vee Q \vee R)$
- Split conjuncts into separate clauses
- Standardize variables so each clause contains only variable names that do not occur in any other clause

## An example

- $(\forall x)(P(x) \rightarrow ((\forall y)(P(y) \rightarrow P(f(x,y))) \wedge \neg(\forall y)(Q(x,y) \rightarrow P(y))))$
- Eliminate  $\rightarrow$   
 $(\forall x)(\neg P(x) \vee ((\forall y)(\neg P(y) \vee P(f(x,y))) \wedge \neg(\forall y)(\neg Q(x,y) \vee P(y))))$
  - Reduce scope of negation  
 $(\forall x)(\neg P(x) \vee ((\forall y)(\neg P(y) \vee P(f(x,y))) \wedge (\exists y)(Q(x,y) \wedge \neg P(y))))$
  - Standardize variables  
 $(\forall x)(\neg P(x) \vee ((\forall y)(\neg P(y) \vee P(f(x,y))) \wedge (\exists z)(Q(x,z) \wedge \neg P(z))))$
  - Eliminate existential quantification  
 $(\forall x)(\neg P(x) \vee ((\forall y)(\neg P(y) \vee P(f(x,y))) \wedge (Q(x,g(x)) \wedge \neg P(g(x))))$
  - Drop universal quantification symbols  
 $(\neg P(x) \vee ((\neg P(y) \vee P(f(x,y))) \wedge (Q(x,g(x)) \wedge \neg P(g(x))))$

## Example

- Convert to conjunction of disjunctions  
 $(\neg P(x) \vee \neg P(y) \vee P(f(x,y))) \wedge (\neg P(x) \vee Q(x,g(x))) \wedge (\neg P(x) \vee \neg P(g(x)))$
- Create separate clauses  
 $\neg P(x) \vee \neg P(y) \vee P(f(x,y))$   
 $\neg P(x) \vee Q(x,g(x))$   
 $\neg P(x) \vee \neg P(g(x))$
- Standardize variables  
 $\neg P(x) \vee \neg P(y) \vee P(f(x,y))$   
 $\neg P(z) \vee Q(z,g(z))$   
 $\neg P(w) \vee \neg P(g(w))$

# Unification

## Unification

- Unification is a “**pattern-matching**” procedure
  - Takes two atomic sentences, called literals, as input
  - Returns “Failure” if they do not match and a substitution list,  $\theta$ , if they do
- That is,  $unify(p, q) = \theta$  means  $subst(\theta, p) = subst(\theta, q)$  for two atomic sentences,  $p$  and  $q$
- $\theta$  is called the **most general unifier** (mgu)
- All variables in the given two literals are implicitly universally quantified
- To make literals match, replace (universally quantified) variables by terms

## Unification algorithm

```
procedure unify(p, q,  $\theta$ )
  Scan p and q left-to-right and find the first corresponding
  terms where p and q “disagree” (i.e., p and q not equal)
  If there is no disagreement, return  $\theta$  (success!)
  Let r and s be the terms in p and q, respectively,
  where disagreement first occurs
  If variable(r) then {
    Let  $\theta = \text{union}(\theta, \{r/s\})$ 
    Return unify(subst( $\theta$ , p), subst( $\theta$ , q),  $\theta$ )
  } else if variable(s) then {
    Let  $\theta = \text{union}(\theta, \{s/r\})$ 
    Return unify(subst( $\theta$ , p), subst( $\theta$ , q),  $\theta$ )
  } else return “Failure”
end
```

## Unification: Remarks

- *Unify* is a linear-time algorithm that returns the most general unifier (mgu), i.e., the shortest-length substitution list that makes the two literals match
- In general, there isn’t a **unique** minimum-length substitution list, but unify returns one of minimum length
- Common constraint: A variable can never be replaced by a term containing that variable  
Example:  $x/f(x)$  is illegal.
  - This “occurs check” should be done in the above pseudo-code before making the recursive calls

## Unification examples

- Example:
  - $\text{parents}(x, \text{father}(x), \text{mother}(\text{Bill}))$
  - $\text{parents}(\text{Bill}, \text{father}(\text{Bill}), y)$
  - $\{x/\text{Bill}, y/\text{mother}(\text{Bill})\}$  yields  $\text{parents}(\text{Bill}, \text{father}(\text{Bill}), \text{mother}(\text{Bill}))$
- Example:
  - $\text{parents}(x, \text{father}(x), \text{mother}(\text{Bill}))$
  - $\text{parents}(\text{Bill}, \text{father}(y), z)$
  - $\{x/\text{Bill}, y/\text{Bill}, z/\text{mother}(\text{Bill})\}$  yields  $\text{parents}(\text{Bill}, \text{father}(\text{Bill}), \text{mother}(\text{Bill}))$
- Example:
  - $\text{parents}(x, \text{father}(x), \text{mother}(\text{Jane}))$
  - $\text{parents}(\text{Bill}, \text{father}(y), \text{mother}(y))$
  - Failure

# Resolution example

## Practice example

### *Did Curiosity kill the cat*

- Jack owns a dog
- Every dog owner is an animal lover
- No animal lover kills an animal
- Either Jack or Curiosity killed the cat, who is named Tuna.
- Did Curiosity kill the cat?

## Practice example

### *Did Curiosity kill the cat*

- Jack owns a dog. Every dog owner is an animal lover. No animal lover kills an animal. Either Jack or Curiosity killed the cat, who is named Tuna. Did Curiosity kill the cat?
- These can be represented as follows:
  - A.  $(\exists x) \text{Dog}(x) \wedge \text{Owns}(\text{Jack}, x)$
  - B.  $(\forall x) ((\exists y) \text{Dog}(y) \wedge \text{Owns}(x, y)) \rightarrow \text{AnimalLover}(x)$
  - C.  $(\forall x) \text{AnimalLover}(x) \rightarrow ((\forall y) \text{Animal}(y) \rightarrow \neg \text{Kills}(x, y))$
  - D.  $\text{Kills}(\text{Jack}, \text{Tuna}) \vee \text{Kills}(\text{Curiosity}, \text{Tuna})$
  - E.  $\text{Cat}(\text{Tuna})$
  - F.  $(\forall x) \text{Cat}(x) \rightarrow \text{Animal}(x)$
  - G.  $\text{Kills}(\text{Curiosity}, \text{Tuna})$  ← **GOAL**

## • Convert to clause form

- A1.  $(\text{Dog}(D))$
- A2.  $(\text{Owns}(\text{Jack}, D))$
- B.  $(\neg \text{Dog}(y), \neg \text{Owns}(x, y), \text{AnimalLover}(x))$
- C.  $(\neg \text{AnimalLover}(a), \neg \text{Animal}(b), \neg \text{Kills}(a, b))$
- D.  $(\text{Kills}(\text{Jack}, \text{Tuna}), \text{Kills}(\text{Curiosity}, \text{Tuna}))$
- E.  $\text{Cat}(\text{Tuna})$
- F.  $(\neg \text{Cat}(z), \text{Animal}(z))$

## • Add the negation of query:

- $\neg G: \neg \text{Kills}(\text{Curiosity}, \text{Tuna})$

```

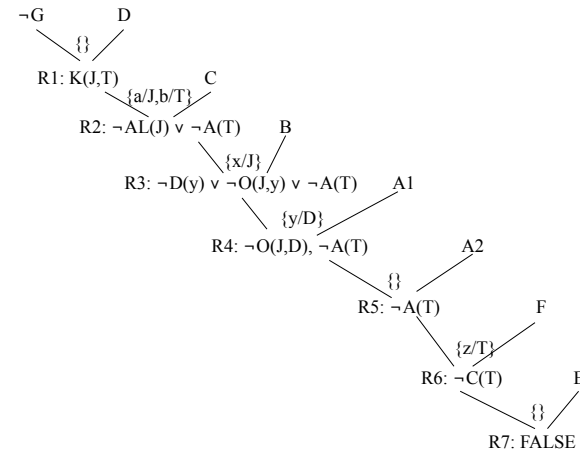
$$\begin{aligned} & \exists x \text{Dog}(x) \wedge \text{Owns}(\text{Jack}, x) \\ & \forall x (\exists y) \text{Dog}(y) \wedge \text{Owns}(x, y) \rightarrow \\ & \quad \text{AnimalLover}(x) \\ & \forall x \text{AnimalLover}(x) \rightarrow (\forall y \text{Animal}(y) \rightarrow \\ & \quad \neg \text{Kills}(x, y)) \\ & \text{Kills}(\text{Jack}, \text{Tuna}) \vee \text{Kills}(\text{Curiosity}, \text{Tuna}) \\ & \text{Cat}(\text{Tuna}) \\ & \forall x \text{Cat}(x) \rightarrow \text{Animal}(x) \\ & \text{Kills}(\text{Curiosity}, \text{Tuna}) \end{aligned}$$

```

## The resolution refutation proof

R1: $\neg G, D, \{\}$	$(\text{Kills}(\text{Jack}, \text{Tuna}))$
R2: R1, C, $\{a/\text{Jack}, b/\text{Tuna}\}$	$(\sim \text{Animal}(\text{Jack}), \sim \text{Animal}(\text{Tuna}))$
R3: R2, B, $\{x/\text{Jack}\}$	$(\sim \text{Dog}(y), \sim \text{Owns}(\text{Jack}, y), \sim \text{Animal}(\text{Tuna}))$
R4: R3, A1, $\{y/D\}$	$(\sim \text{Owns}(\text{Jack}, D), \sim \text{Animal}(\text{Tuna}))$
R5: R4, A2, $\{\}$	$(\sim \text{Animal}(\text{Tuna}))$
R6: R5, F, $\{z/\text{Tuna}\}$	$(\sim \text{Cat}(\text{Tuna}))$
R7: R6, E, $\{\}$	FALSE

## The proof tree



# Resolution search strategies

## Resolution TP as search

- Resolution can be thought of as the **bottom-up construction of a search tree**, where the leaves are the clauses produced by KB and the negation of the goal
- When a pair of clauses generates a new resolvent clause, add a new node to the tree with arcs directed from the resolvent to the two parent clauses
- Resolution succeeds** when a node containing the **False** clause is produced, becoming the **root node** of the tree
- A strategy is **complete** if its use guarantees that the empty clause (i.e., false) can be derived whenever it is entailed


## Strategies

- There are a number of general (domain-independent) strategies that are useful in controlling a resolution theorem prover
- We'll briefly look at the following:
  - Breadth-first
  - Length heuristics
  - Set of support
  - Input resolution
  - Subsumption
  - Ordered resolution

## Example

1. Battery-OK  $\wedge$  Bulbs-OK  $\rightarrow$  Headlights-Work
2. Battery-OK  $\wedge$  Starter-OK  $\rightarrow$  Empty-Gas-Tank  $\vee$  Engine-Starts
3. Engine-Starts  $\rightarrow$  Flat-Tire  $\vee$  Car-OK
4. Headlights-Work
5. Battery-OK
6. Starter-OK
7.  $\neg$ Empty-Gas-Tank
8.  $\neg$ Car-OK
9. Goal: Flat-Tire ?

## Example

1.  $\neg$ Battery-OK  $\vee$   $\neg$ Bulbs-OK  $\vee$  Headlights-Work
2.  $\neg$ Battery-OK  $\vee$   $\neg$ Starter-OK  $\vee$  Empty-Gas-Tank  $\vee$  Engine-Starts
3.  $\neg$ Engine-Starts  $\vee$  Flat-Tire  $\vee$  Car-OK
4. Headlights-Work
5. Battery-OK
6. Starter-OK
7.  $\neg$ Empty-Gas-Tank
8.  $\neg$ Car-OK
9.  $\neg$ Flat-Tire  **negated goal**

## Breadth-first search

- Level 0 clauses are the original axioms and the negation of the goal
- Level k clauses are the resolvents computed from two clauses, one of which must be from level k-1 and the other from any earlier level
- Compute all possible level 1 clauses, then all possible level 2 clauses, etc.
- Complete, but very inefficient

## BFS example

1.  $\neg$ Battery-OK  $\vee$   $\neg$ Bulbs-OK  $\vee$  Headlights-Work
2.  $\neg$ Battery-OK  $\vee$   $\neg$ Starter-OK  $\vee$  Empty-Gas-Tank  $\vee$  Engine-Starts
3.  $\neg$ Engine-Starts  $\vee$  Flat-Tire  $\vee$  Car-OK
4. Headlights-Work
5. Battery-OK
6. Starter-OK
7.  $\neg$ Empty-Gas-Tank
8.  $\neg$ Car-OK
9.  $\neg$ Flat-Tire
- 1,4 10.  $\neg$ Battery-OK  $\vee$   $\neg$ Bulbs-OK
- 1,5 11.  $\neg$ Bulbs-OK  $\vee$  Headlights-Work
- 2,3 12.  $\neg$ Battery-OK  $\vee$   $\neg$ Starter-OK  $\vee$  Empty-Gas-Tank  $\vee$  Flat-Tire  $\vee$  Car-OK
- 2,5 13.  $\neg$ Starter-OK  $\vee$  Empty-Gas-Tank  $\vee$  Engine-Starts
- 2,6 14.  $\neg$ Battery-OK  $\vee$  Empty-Gas-Tank  $\vee$  Engine-Starts
- 2,7 15.  $\neg$ Battery-OK  $\neg$  Starter-OK  $\vee$  Engine-Starts
16. ... [and we're still only at Level 1!]

## Length heuristics

- **Shortest-clause heuristic:**  
Generate a clause with the fewest literals first
- **Unit resolution:**  
Prefer resolution steps in which at least one parent clause is a “unit clause,” i.e., a clause containing a single literal  
– Not complete in general, but complete for Horn clause KBs

## Unit resolution example

1.  $\neg$ Battery-OK  $\vee$   $\neg$ Bulbs-OK  $\vee$  Headlights-Work
2.  $\neg$ Battery-OK  $\vee$   $\neg$ Starter-OK  $\vee$  Empty-Gas-Tank  $\vee$  Engine-Starts
3.  $\neg$ Engine-Starts  $\vee$  Flat-Tire  $\vee$  Car-OK
4. Headlights-Work
5. Battery-OK
6. Starter-OK
7.  $\neg$ Empty-Gas-Tank
8.  $\neg$ Car-OK
9.  $\neg$ Flat-Tire
- 1,5 10.  $\neg$ Bulbs-OK  $\vee$  Headlights-Work
- 2,5 11.  $\neg$ Starter-OK  $\vee$  Empty-Gas-Tank  $\vee$  Engine-Starts
- 2,6 12.  $\neg$ Battery-OK  $\vee$  Empty-Gas-Tank  $\vee$  Engine-Starts
- 2,7 13.  $\neg$ Battery-OK  $\neg$  Starter-OK  $\vee$  Engine-Starts
- 3,8 14.  $\neg$ Engine-Starts  $\vee$  Flat-Tire
- 3,9 15.  $\neg$ Engine-Starts  $\neg$  Car-OK
16. ... [this doesn't seem to be headed anywhere either!]

## Set of support

- At least one parent clause must be the negation of the goal *or* a “descendant” of such a goal clause (i.e., derived from a goal clause)
- *When there's a choice, take the most recent descendant*
- Complete, assuming all possible set-of-support clauses are derived
- Gives a goal-directed character to the search (e.g., like backward chaining)

## Set of support example

1.  $\neg$ Battery-OK  $\vee$   $\neg$ Bulbs-OK  $\vee$  Headlights-Work
2.  $\neg$ Battery-OK  $\vee$   $\neg$ Starter-OK  $\vee$  Empty-Gas-Tank  $\vee$  Engine-Starts
3.  $\neg$ Engine-Starts  $\vee$  Flat-Tire  $\vee$  Car-OK
4. Headlights-Work
5. Battery-OK
6. Starter-OK
7.  $\neg$ Empty-Gas-Tank
8.  $\neg$ Car-OK
9.  $\neg$ Flat-Tire
- 9,3 10.  $\neg$ Engine-Starts  $\vee$  Car-OK
- 10,2 11.  $\neg$ Battery-OK  $\vee$   $\neg$ Starter-OK  $\vee$  Empty-Gas-Tank  $\vee$  Car-OK
- 10,8 12.  $\neg$ Engine-Starts
- 11,5 13.  $\neg$ Starter-OK  $\vee$  Empty-Gas-Tank  $\vee$  Car-OK
- 11,6 14.  $\neg$ Battery-OK  $\vee$  Empty-Gas-Tank  $\vee$  Car-OK
- 11,7 15.  $\neg$ Battery-OK  $\vee$   $\neg$ Starter-OK  $\vee$  Car-OK
16. ... [a bit more focused, but we still seem to be wandering]

## Unit resolution + set of support example

1.  $\neg$ Battery-OK  $\vee$   $\neg$ Bulbs-OK  $\vee$  Headlights-Work
  2.  $\neg$ Battery-OK  $\vee$   $\neg$ Starter-OK  $\vee$  Empty-Gas-Tank  $\vee$  Engine-Starts
  3.  $\neg$ Engine-Starts  $\vee$  Flat-Tire  $\vee$  Car-OK
  4. Headlights-Work
  5. Battery-OK
  6. Starter-OK
  7.  $\neg$ Empty-Gas-Tank
  8.  $\neg$ Car-OK
  9.  $\neg$ Flat-Tire
  - 9,3 10.  $\neg$ Engine-Starts  $\vee$  Car-OK
  - 10,8 11.  $\neg$ Engine-Starts
  - 11,2 12.  $\neg$ Battery-OK  $\vee$   $\neg$ Starter-OK  $\vee$  Empty-Gas-Tank
  - 12,5 13.  $\neg$ Starter-OK  $\vee$  Empty-Gas-Tank
  - 13,6 14. Empty-Gas-Tank
  - 14,7 15. FALSE
- [Hooray! Now that's more like it!]

## Simplification heuristics

- **Subsumption:**  
Eliminate sentences that are subsumed by (more specific than) an existing sentence to keep KB small
  - If  $P(x)$  is already in the KB, adding  $P(A)$  makes no sense –  $P(x)$  is a superset of  $P(A)$
  - Likewise adding  $P(A) \vee Q(B)$  would add nothing to the KB
- **Tautology:**  
Remove any clause containing two complementary literals (tautology)
- **Pure symbol:**  
If a symbol always appears with the same “sign,” remove all the clauses that contain it

## Example (Pure Symbol)

1.  ~~$\neg$ Battery-OK  $\vee$   $\neg$ Bulbs-OK  $\vee$  Headlights-Work~~
2.  $\neg$ Battery-OK  $\vee$   $\neg$ Starter-OK  $\vee$  Empty-Gas-Tank  $\vee$  Engine-Starts
3.  $\neg$ Engine-Starts  $\vee$  Flat-Tire  $\vee$  Car-OK
4. ~~Headlights-Work~~
5. Battery-OK
6. Starter-OK
7.  $\neg$ Empty-Gas-Tank
8.  $\neg$ Car-OK
9.  $\neg$ Flat-Tire



## Input resolution

- At least one parent must be one of the input sentences (i.e., either a sentence in the original KB or the negation of the goal)
- Not complete in general, but complete for Horn clause KBs
- Linear resolution
  - Extension of input resolution
  - One of the parent sentences must be an input sentence *or* an ancestor of the other sentence
  - Complete

## Ordered resolution

- Search for resolvable sentences in order (left to right)
- This is how Prolog operates
- Resolve the first element in the sentence first
- This forces the user to define what is important in generating the “code”
- The way the sentences are written controls the resolution

## Prolog: logic programming language based on Horn clauses

- Resolution refutation
- Control strategy: goal-directed and depth-first
  - always start from the goal clause
  - always use new resolvent as one of parent clauses for resolution
  - backtracking when the current thread fails
  - complete for Horn clause KB
- Supports answer extraction (can request single or all answers)
- Orders clauses & literals within a clause to resolve non-determinism
  - $Q(a)$  may match both  $Q(x) \Leftarrow P(x)$  and  $Q(y) \Leftarrow R(y)$
  - A (sub)goal clause may contain  $>1$  literals, i.e.,  $\Leftarrow P1(a), P2(a)$
- Use “closed world” assumption (negation as failure)
  - If it fails to derive  $P(a)$ , then assume  $\sim P(a)$

## Summary

- Logical agents apply inference to a KB to derive new information and make decisions
- Basic concepts of logic:
  - Syntax: formal structure of sentences
  - Semantics: truth of sentences wrt models
  - Entailment: necessary truth of one sentence given another
  - Inference: deriving sentences from other sentences
  - Soundness: derivations produce only entailed sentences
  - Completeness: derivations can produce all entailed sentences
- FC and BC are linear time, complete for Horn clauses
- Resolution is a sound and complete inference method for propositional and first-order logic